

Stereocomplementary Bioreduction of α,β -Unsaturated Dicarboxylic Acids and Dimethyl Esters using Enoate Reductases: Enzyme- and Substrate-Based Stereocontrol

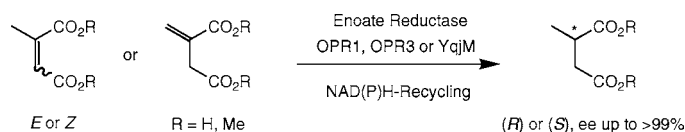
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ABSTRACT



Asymmetric bioreduction of α,β -unsaturated dicarboxylic acids, such as 2-methylmaleic/fumaric and 2-methylenesuccinic acid, as well as the corresponding dimethyl esters, using three cloned enoate reductases furnished 2-methylsuccinic acid or dimethyl 2-methylsuccinate, respectively. Opposite stereoisomeric products were obtained in up to >99% ee either by choice of the enzyme or by using E/Z -configured substrates. Cofactor-recycling systems (NADH/FDH/formate, NADH/GDH/glucose or NADPH/G6PDH/glucose-6-phosphate) only worked in presence of a divalent metal ion, such as Ca^{2+} , Mg^{2+} , or Zn^{2+} .

The asymmetric conjugate reduction of $\text{C}=\text{C}$ bonds leads to the creation of up to two stereogenic centers and is thus a key transformation in asymmetric synthesis. Stereoselective bioreduction of activated alkenes is catalyzed by flavin-dependent enoate reductases [EC 1.3.1.X],^{1–3} members of the “old yellow enzyme” family⁴ at the expense of a nicotinamide cofactor.^{1,5} The flavin cofactor transfers a hydride onto $\text{C}\beta$ of the substrate while an essential Tyr residue conserved along the OYE family adds a proton onto

$\text{C}\alpha$ from the opposite side. As a consequence of the stereochemistry of this mechanism, the overall addition of $[\text{H}_2]$ proceeds in a strict *trans*-fashion. Enoate reductases are able to reduce a wide variety of substrates, such as conjugated enals, enones, α,β -unsaturated carboxylic acids, imides, nitroalkenes, and ynones.^{1,3,6,7}

The asymmetric bioreduction of α,β -unsaturated carboxylic acids was predominantly investigated by the group of H. Simon using isolated enzymes or whole cells of strict (or facultative) anaerobes, such as *Clostridium* spp., *Proteus mirabilis*, *Enterobacter agglomerans*, *Acetobacterium woodii*, and *Sporomusa termitida*.^{8–11} Although the stereoselectivities reported were impressive, practical application of

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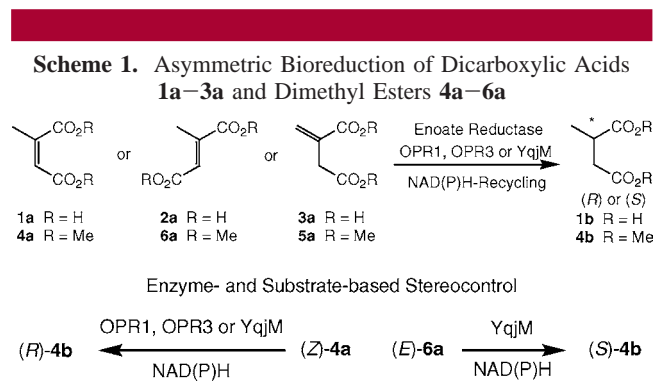
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these oxygen-sensitive enzymes/organisms was severely impeded because they required growth and handling of anaerobes and complex electro-microbial or -enzymatic cofactor-recycling systems, based on toxic mediators, such as Paraquat. Overall, these drawbacks rendered industrial applications utopian. During our search for oxygen-stable and durable enoate reductases, we investigated the asymmetric bioreduction of α,β -unsaturated dicarboxylic acids and diesters using 12-oxophytodienoate reductase isoenzymes OPR1 and OPR3 from *Lycopersicum esculentum* (tomato)^{6,12} and YqjM from *Bacillus subtilis*.¹³

In order to gain insight into the substrate-selectivity relationship of these enzymes, a structurally related set of diacids, 2-methylmaleic acid ("citraconic acid", **1a**), 2-methylfumaric acid ("mesaconic acid", **2a**), and 2-methylene-succinic acid ("itaconic acid", **3a**), were chosen, since it was expected that they would yield the same reduction product, 2-methylsuccinic acid (**1b**). The corresponding dimethyl esters (**4a–6a**) were tested to compare the activating effects of the carboxyl- versus the alkoxycarbonyl groups (Scheme 1, Table 1).



Substrate **1a** was not converted by OPR3 but was quantitatively reduced to (*R*)-**1b** in >99% ee by OPR1 and YqjM using NADH or NADPH in molar amounts (entries 1 and 2). Much to our surprise, cofactor recycling using well-established systems (NADH/FDH/formate, NADH/GDH/glucose, NADPH/G6PDH/glucose-6-phosphate) completely failed (data not shown). Since FDH, GDH, and G6PDH depend on essential metal ions,¹⁴ we suspected that this

deactivation might be caused by removal of the essential metal through complexation by diacid **1a** acting as strong chelating agent. In order to neutralize this effect, the medium was supplemented with Ca^{2+} , Mg^{2+} , or Zn^{2+} equimolar to the substrate, which completely restored the activity of the recycling enzymes (entries 3–5). Since the nature of the bivalent metal did not have any influence on the outcome of the reaction regarding conversion and/or stereoselectivity, this is a strong hint that the deactivation of the recycling enzyme occurs via the aforementioned mechanism. The addition of Fe^{3+} as supplementing metal failed; no conversion was observed (data not shown). The simplicity of this system is in strong contrast with the complex conditions required for *Clostridia*.⁹

A striking influence of the substrate configuration was found for the *E*-configured substrate analog **2a**, which proved to be unreactive with all three enzymes (entry 6). A related (however, opposite) trend was reported with *Clostridium formicoaceticum*, which reduced (*E*)-**2a** to (*S*)-**1b** while the (*Z*)-counterpart **1a** turned out to be unreactive.¹⁰

The *exo*-methylene analog **3a** proved to be a difficult substrate, which was only converted to (*R*)-**1b** by YqjM in low conversion, albeit with excellent stereoselectivity (entries 8 and 9).

Although α,β -unsaturated esters were suspected to be good substrates for enoate reductases,³ it turned out that ester hydrolysis occurred first when whole cells were used, which rendered the corresponding α,β -unsaturated carboxylic acids as the actual substrates.^{10,15} This drawback was successfully circumvented by using isolated enzymes (entries 10–21). Overall, diesters **4a–6a** proved to be superior in comparison to the corresponding diacids **1a–3a**. In contrast to the dicarboxylic acids **1a–3a**, diesters **4a–6a** had no adverse effects on the cofactor-recycling systems.

The *cis*-configured diester **4a** was reduced by all enzymes with perfect stereoselectivity furnishing (*R*)-**4b** in >99% ee (entries 10–13). Among them, OPR3 displayed the highest activity (*c* = 99%). In contrast, the *exo*-methylene analogue **5a** turned out to be more cumbersome (entries 14–17): it was not accepted by OPR3 and also OPR1 showed moderate activity (up to 66% conversion); only YqjM gave sufficient conversion (up to 91%).

Depending on the enzyme, the (*E*/*Z*)-configuration of the diester substrate had a strong impact on the stereochemical outcome of the reaction. Whereas OPR1 converted both (*Z*)-**4a** and (*E*)-**6a** with similar activities yielding (*R*)-**4b**, OPR3 accepted only the (*Z*)-substrate **4a** and was completely inactive on (*E*)-**6a**. A related behavior was observed for dimethyl maleate reductase isolated from *Clostridium formicoaceticum*, which was inactive on the (*E*)-configured substrate dimethyl fumarate.¹⁰

Although YqjM showed comparable activities on both stereoisomers, it produced *opposite enantiomers* with perfect stereoselectivity (ee >99%). This substrate-based stereocontrol is remarkable in magnitude since the stereochemical outcome of the reaction could be completely controlled by

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Table 1. Asymmetric Bioreduction of α,β -Unsaturated Dicarboxylic Acids **1a–3a** and Corresponding Diesters **4a–6a** Using Enoate Reductases OPR1, OPR3, and YqjM

entry	substrate	product	cofactor	OPR1 convn %	ee %	OPR3 convn %	ee %	YqjM convn %	ee %
1			NADH	>99	(R) >99	nc ^b	-	>99	(R) >99
2			NADPH	>99	(R) >99	nc	-	>99	(R) >99
3			NAD ⁺ -FDH-M ²⁺ ^a	>99	(R) >99	nd	-	>99	(R) >99
4			NAD ⁺ -GDH-M ²⁺ ^a	>99	(R) >99	nd	-	>99	(R) >99
5			NADP ⁺ -G6PDH-M ²⁺ ^a	>99	(R) >99	nd	-	>99	(R) >99
6			NADH or NADPH	nc	-	nc	-	nc	-
7			NADH	nc	-	nc	-	nc	-
8			NADPH	nc	-	nc	-	3	(R) >99
9			NADP ⁺ -G6PDH	nd	-	nd	-	14	(R) >99
10			NADH	59	(R) >99	96	(R) >99	83	(R) >99
11			NADPH	48	(R) >99	87	(R) >99	88	(R) >99
12			NAD ⁺ -FDH	91	(R) >99	99	(R) >99	93	(R) >99
13			NADP ⁺ -G6PDH	28	(R) >99	75	(R) >99	78	(R) >99
14			NADH	28	(R) >99	3	(R) >99	91	(R) >99
15			NADPH	29	(R) >99	nc	-	77	(R) >99
16			NAD ⁺ -FDH	66	(R) >99	nd	-	88	(R) >99
17			NADP ⁺ -G6PDH	14	(R) >99	nd	-	64	(R) >99
18			NADH	65	(R) 79	nc	-	57	(S) >99
19			NADPH	58	(R) 80	nc	-	70	(S) >99
20			NAD ⁺ -FDH	99	(R) 77	nd	-	69	(S) >99
21			NADP ⁺ -G6PDH	33	(R) 80	nd	-	36	(S) >99

^a Medium supplemented with Ca²⁺, Mg²⁺, or Zn²⁺ equimolar to the substrate; for details see the electronic Supporting Information. ^b nc = no conversion; nd = not determined.

choosing an (*E*)- or (*Z*)-configured substrate. Whereas (*Z*)-**4a** furnished (*R*)-**4b**, (*E*)-**6a** gave the mirror image (*S*)-**4b** counterpart in >99% ee. A related phenomenon was observed during the reduction of (*E/Z*)-2-chloroacrylic acids using baker's yeast¹⁶ and (*E/Z*)-enals using enoate reductases from yeast and *Zymomonas mobilis*.¹⁷ In addition, an enzyme-based stereocontrol was also observed during the reduction of **6a**, where the absolute configuration of the product could be controlled by the choice of biocatalyst: whereas OPR1 delivered (*R*)-**4b** (ee_{max} 80%), YqjM furnished (*S*)-**4b** (ee >99%).

In conclusion, we have shown that α,β -unsaturated dicarboxylic acids and their corresponding diesters were stereoselectively reduced by 12-oxophytodienoate reductase isoenzymes OPR1 and OPR3 from *Lycopersicon esculentum* (tomato) and the "old yellow enzyme" homolog YqjM from

Bacillus subtilis with virtually absolute stereoselectivities (ee up to >99%). In contrast to enoate reductases from anaerobes, standard protocols for cofactor recycling could be used with these oxygen-stable enzymes and undesired ester hydrolysis was entirely eliminated. Most remarkably, the position of the C=C double bond within the substrate and its (*E/Z*)-configuration played a crucial role in the substrate recognition. Whereas *exo*-methylene substrates were rather difficult to reduce, stereochemical control was achieved by the choice of enzyme or the (*E/Z*)-configuration of the substrate.

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Supporting Information Available: General synthetic and analytical methods. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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